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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68222

Masanori MIZOGUCHI

Appln. No.: 10/055,926

Group Art Unit: 2623

Confirmation No.: 1457

Examiner: Chong R. Kim

Filed: January 28, 2002

For: FINGERPRINT IDENTIFICATION SYSTEM

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. H10-124668, published May 15, 1998 (with English abstract).
2. Kazuharu Yamato, et al.: "Problems of Fingerprint Lookup Devices and How to Resolve Them," *Gazo Denshi Gakkaishi* [Journal of the Institute of Image Electronics Engineers of Japan], Japan, Institute of Image Electronics Engineers of Japan, August 25, 1995, Vol. 24, No. 4, p. 382-391.
3. Japanese Unexamined Patent Application Publication No. H11-110541, published April 23, 1999 (with English abstract).

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U.S. Appln. No.: 10/055,926

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4. Japanese Unexamined Patent Application Publication No. H11-253426, published September 21, 1999 (with English abstract).

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated December 14, 2004 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree for relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "H. Bernstein", is written over a horizontal line.

Howard L. Bernstein  
Registration No. 25,665

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: March 14, 2005



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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Howard L. Bernstein  
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MODIFIED PTO/SB/08 A &amp; B (06-03)

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/055,926
Confirmation Number	1457
Filing Date	January 28, 2002
First Named Inventor	Masanori MIZOGUCHI
Art Unit	2623
Examiner Name	Chong R. Kim
Attorney Docket Number	Q68222

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	H10-124668	A	05-15-1998	Fujitsu Ltd	
		JP	H11-110541	A	04-23-1999	Mitsubishi Electric Corp	
		JP	H11-253426	A	09-21-1999	NTT Data Corp	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Kazuharu Yamato, et al.: "Problems of Fingerprint Lookup Devices and How to Resolve Them," <i>Gazo Denshi Gakkaishi</i> [Journal of the Institute of Image Electronics Engineers of Japan], Japan, Institute of Image Electronics Engineers of Japan, August 25, 1995, Vol. 24, No. 4, p. 382-391.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.